

# COHEN-MACAULAYNESS OF MODULES OF INVARIANTS FOR $SL_2$

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ABSTRACT. Let  $U, W$  be finite dimensional representations of  $G = SL_2$ . We give conditions under which  $(U \otimes k[W])^G$  is a Cohen-Macaulay  $k[W]^G$ -module. In particular we obtain an invariant theoretic proof of the fact that the trace ring of generic  $2 \times 2$  matrices is Cohen-Macaulay. [11]

## 1. INTRODUCTION.

Let  $G$  be a reductive algebraic group over an algebraically closed field of characteristic zero and let  $W$  be a finite dimensional representation of  $G$ . Then  $G$  acts on the polynomial ring  $k[W]$  and the Hochster-Roberts theorem tells us that  $k[W]^G$  is Cohen-Macaulay [8].

In this paper we study a question that looks very similar. Let  $U$  be another finite dimensional representation of  $G$ . Then  $G$  acts on the free  $k[W]$ -module  $U \otimes k[W]$  and we ask whether  $(U \otimes k[W])^G$  is a Cohen-Macaulay module over  $k[W]^G$ .

Unfortunately the answer to this question is no in general. Stanley gave a complete answer in the case that  $G$  is a torus. In this case there are interesting connections with linear diophantine equations [15].

We give a simple example where  $(U \otimes k[W])^G$  is not Cohen-Macaulay.

**Example 1.1.** Let  $G = G_m$ ,  $R = k[X, Y, Z]$ ,  $M = k[X, Y, Z]$  and  $G_m$  acts on  $R$  and  $M$  as follows : Let  $\alpha \in G_m$ ,  $f \in R$  and  $g \in M$ . Then  $\alpha.f = f(\alpha X, \alpha Y, \alpha^{-1} Z)$  and  $\alpha.g = \alpha^{-1} g(\alpha X, \alpha Y, \alpha^{-1} Z)$ . Hence  $R^G = k[XZ, YZ]$  and  $M^G = (XZ, YZ)Z^{-1}$ . Clearly  $M^G$  is not a Cohen-Macaulay module.

If  $\chi$  is a generator for  $\chi(G_m)$  then this example corresponds to  $U = \chi^{-1}$  and  $W = \chi \oplus \chi \oplus \chi^{-1}$ .

Let us also mention that if  $(U \otimes k[W])^G$  is Cohen-Macaulay then the Poincaré series of  $(U \otimes k[W])^G$  satisfies a sort of functional equation. In [16, Th. 4.3] Stanley gives a sufficient condition for the existence of such a functional equation.

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This paper was written while the author was visiting the Massachusetts Institute of Technology. He hereby wishes to thank MIT for its kind hospitality.

Our main motivation for studying  $(U \otimes k[W])^G$  lies in trace rings of generic matrices  $n \times n$ -matrices. (See e.g. [3][11][12][14].)

Fix integers  $m$  and  $n$  and let  $X_k = (x_{ij}^{(k)})_{1 \leq i, j \leq n}$ ,  $1 \leq k \leq m$  be  $m$   $n \times n$ -matrices in  $M_n(k[x_{ij}^{(k)}])$ . Let  $\mathbb{G}_{m,n}$  be the  $k$ -algebra generated by  $X_1, \dots, X_m$ . This is called the ring of  $m$  generic  $n \times n$ -matrices.

$\mathbb{G}_{m,n}$  has many fine properties. Among other things, it is an affine prime PI-algebra. It is not Noetherian however. Let  $T(\mathbb{G}_{m,n})$  denote the set of all traces of elements in  $\mathbb{G}_{m,n}$  (as a subring of  $M_n(k[x_{ij}^{(k)}])$ ). Then  $\mathbb{T}_{m,n} = \mathbb{G}_{m,n}T(\mathbb{G}_{m,n})$  is called the trace ring of  $m$  generic  $n \times n$ -matrices. (The notations  $\mathbb{T}_{m,n}$ ,  $\mathbb{G}_{m,n}$  are due to L. Lebrun.)

$\mathbb{T}_{m,n}$  is a affine Noetherian prime PI-algebra, finitely generated over its center. The geometric meaning of  $\mathbb{T}_{m,n}$  is that it parametrizes (in a non-commutative way) the irreducible components of the semisimple representations of dimension  $n$  of the free algebra  $k\langle X_1, \dots, X_m \rangle$  [1][14].

There is a different description of  $\mathbb{T}_{m,n}$  that is more suitable for us. Let  $V$  be an  $n$ -dimensional  $k$ -vectorspace and let  $W = (V^* \otimes V)^m$ ,  $U = V^* \otimes V$  and  $G = SL(V)$ . Then  $\mathbb{T}_{m,n} = (U \otimes k[W])^G$ .

After computations in low dimensions, L. Lebrun conjectured that  $\mathbb{T}_{m,n}$  is always a Cohen-Macaulay module over its center. This was proved by him in the case of  $2 \times 2$ -matrices using the theory of Clifford algebras.

The trace ring of  $2 \times 2$ -matrices is a module of invariants for  $SL_2$ . Now the representation theory of  $SL_2$  is almost as simple as the representation theory of a torus, hence it is natural to study the Cohen-Macaulayness of  $(U \otimes k[W])^G$  in this case first.

This is precisely what we do in this paper. We provide some tools (Th. 3.1, Cor. 5.4 and Lemma 5.6) that make it possible to give a positive answer for certain pairs  $U, W$ . In particular we recover the Cohen-Macaulayness of the trace ring of generic  $2 \times 2$  matrices.

On the other hand we make the assumption that the unstable locus in  $\text{proj } k[W]$  is smooth. This puts a severe restriction on the possible  $W$ 's.

In general however one can always apply Theorem 3.1 to an embedded resolution of the unstable locus. This is the subject of some ongoing research on which I will report in a forthcoming paper.

## 2. SOME PRELIMINARIES.

**2.1. Homogeneous bundles.** In this section we describe some of the properties of homogeneous bundles. All these properties are well known and easily proved by faithfully flat descent. I have not been able to locate a convenient reference however.

Here and in the next sections  $k$  will be an algebraically closed field of characteristic zero. All schemes will be  $k$ -schemes. Fiber products are over  $\text{Spec } k$  unless otherwise specified.

If  $G$  is an algebraic group and  $P$  is an algebraic subgroup of  $G$  then the quotient morphism is faithfully flat [5, Expo. VI<sub>A</sub>, Th. 3.2]. If  $Y$  is a scheme with a  $P$  action then  $G \times^P Y$  is defined informally as  $G \times Y/P$  where  $P$  acts as  $p(g, y) = (gp^{-1}, py)$ . Formally  $G \times^P Y$  is defined by putting appropriate descent data on  $G \times Y$ .

Projection on the first factor defines a morphism  $G \times^P Y \rightarrow G/P$  whose fibers are all isomorphic to  $Y$ . By construction  $G \times_{G/P} (G \times^P Y) \cong G \times Y$ .

If  $S$  is a scheme and  $H$  is a group scheme acting on  $S$  then let us denote by  $\text{Sch}_H/S$  the category of  $S$ -schemes with a  $H$ -action compatible with the  $H$ -action on  $S$ .

Then  $G \times^P ?$  defines a functor  $\text{Sch}_P/\{P\} \rightarrow \text{Sch}_G/(G/P)$ . To simplify the notation we will often denote this functor by  $\sim$ .

Let  $\phi : X' \rightarrow G$  be a  $G$ -equivariant map. Then  $x \rightarrow (\phi(x), \phi(x)^{-1}x)$  and  $(g, x) \rightarrow gx$  define explicit maps between  $X'$  and  $G \times X'_e$  which are each others inverse.

Similarly if  $\phi' : X' \rightarrow G$ ,  $\phi'' : X'' \rightarrow G$  and  $f : X' \rightarrow X''$  are  $G$ -equivariant such that  $\phi''f = \phi'$  then the isomorphisms defined above give rise to a commutative diagram :

$$(1) \quad \begin{array}{ccc} X' & \xrightarrow{\cong} & G \times X'_e \\ f \downarrow & & \downarrow 1 \times f_e \\ X'' & \xrightarrow{\cong} & G \times X''_e \end{array}$$

Hence taking the fiber of  $e$  defines an equivalence of categories between  $\text{Sch}_G/G$  and  $\text{Sch}/e$ .

Now suppose that we are given  $\phi : X \rightarrow G/P$ , also  $G$ -equivariant and assume that the fiber of  $\{P\}$  is  $Y$ . Then there is a canonical morphism  $\pi : G \times^P Y \rightarrow X : (g, y) \rightarrow gy$  which is an isomorphism on the fibers of  $\{P\}$ .  $G \times_{G/P} \pi$  is a map of  $G$ -schemes which is an isomorphism on the fibers of  $e$ , so by (1)  $G \times_{G/P} \pi$  is an isomorphism, but this means, by faithfully flat descent, that  $\pi$  is also an isomorphism.

Hence  $\sim$  actually defines an equivalence of categories between  $\text{Sch}_P/\{P\}$  and  $\text{Sch}_G/(G/P)$ .

Finally assume that we are given a  $P$ -equivariant vector bundle  $E \rightarrow Y$ . Then by applying  $G \times_{G/P} ?$  together with faithfully flat descent one sees that  $\tilde{E} \rightarrow \tilde{Y}$  is also a vector bundle. Furthermore one verifies that

$\sim$  is compatible with all the usual vector bundle operations  $f^*$ ,  $\otimes$ ,  $S^n$ ,  $\Lambda^n$ , exact sequences, etc. . .

If  $E$  is given by its sheaf of sections  $\mathcal{E}$  then we will use the notation  $\tilde{\mathcal{E}}$  to denote the sheaf of sections of  $\tilde{E}$ .

What we have shown above implies that a vector bundle on  $\tilde{Y}$  is uniquely determined by its fiber over  $x = \{P\}$ . I.e if  $\mathcal{F}$  is a  $G$ -equivariant vector bundle on  $\tilde{Y}$  then  $(\widetilde{\mathcal{F}_x}) \cong \mathcal{F}$ . This fact will be used heavily in the sequel.

**2.2. Collapsing of homogeneous bundles.** In the sequel we will encounter the following situation :  $Y$  is a closed subvariety of a variety  $X$  on which an algebraic group  $G$  acts. In general the union of all conjugates of  $Y$  is only a constructible set (denoted by  $GY$ ). We will need a criterion under which  $GY$  is nice.

Such a criterion is provided by Kirwan.

If  $P \subset G$  are algebraic groups then we will denote by  $\mathfrak{p} \subset \mathfrak{g}$  their respective Lie algebras.

If  $G$ -acts on a scheme  $X$  then there is an induced action  $\zeta \rightarrow \zeta_x$  from  $\mathfrak{g}$  to the tangent space  $T_x X$  for each  $x \in X$ .

**Proposition 2.1.** *Let  $Y$  be a closed subvariety of a variety  $X$  on which an algebraic group  $G$  acts. Assume that there is a parabolic subgroup of  $G$  with the property that for all  $y \in Y$*

$$P = \{g \in G \mid gy \in Y\}$$

$$\mathfrak{p} = \{\zeta \in \mathfrak{g} \mid \zeta_y \in T_y Y\}$$

*Then the natural map  $G \times^P Y \rightarrow X : (g, y) \rightarrow gy$  is a closed immersion.*

*Proof.* This fact can be distilled from the proof of [10, Th 13.6]  $\square$

### 3. THE METHOD.

Let  $G$  be a reductive algebraic group over an algebraically closed field  $k$  of characteristic zero and let  $U$  be an irreducible and  $W$  an arbitrary finite dimensional representation of  $G$ . (Assuming  $U$  irreducible is no restriction since we can always analyze the irreducible components of  $U$  separately.)

Define  $R = k[W]$ ,  $M = U \otimes k[W]$ ,  $h + 1 = \dim R^G$  and  $d + 1 = \dim R = \dim_k W$ . Let  $X = \text{proj } R$  and let  $X^u$  the locus of  $G$ -unstable points in  $X$ . The defining ideal for  $X^u$  is given by the graded ideal  $I = \text{rad}((R^+)^G R)$  in  $R$ . Let  $\mathcal{I}$  be the corresponding sheaf of ideals in  $\mathcal{O}_X$ . Obviously  $I$  and  $\mathcal{I}$  are  $G$ -invariant.

The following criterion for  $M^G$  to be Cohen-Macaulay is easily proved :

**Theorem 3.1.** *If  $U \otimes (\Lambda^{d+1}W)^*$  does not occur as a  $G$ -representation in  $H^j(X^u, (\mathcal{I}^t/\mathcal{I}^{t+1})(l))$  for all  $t, l$  and  $j = d - h, \dots, d$  then  $M^G$  is Cohen-Macaulay.*

*Proof.* If  $d - h = 0$  there is nothing to prove, so we assume  $d - h \geq 1$ . A well known criterion for  $M^G$  to be a Cohen-Macaulay  $R^G$  module is that  $H_{(R^+)^G}^i(M^G) = 0$  for  $i = 0, \dots, h$  [15]. Now by a simple generalization of [9, Lemma 4.5]  $H_{(R^+)^G}^i(M^G) = (H_I^i(M))^G = H_I^i(U \otimes R)^G = (U \otimes H_I^i(R))^G$  which is non-zero if and only if  $U \otimes H_I^i(R)$  does not contain a trivial representation, i.e. if and only if  $U^*$  does not occur in  $H_I^i(R)$ . Now by definition

$$H_I^i(R) = \varinjlim \text{Ext}_R^i(R/I^t, R)$$

Hence any representation that occurs in  $H_I^i(R)$  occurs in at least one  $\text{Ext}_R^i(I^t/I^{t+1}, R)$ .

But by local duality applied to the localization of  $R$  at  $R^+ : H_{R^+}^{d+1-i}(I^t/I^{t+1}) = \text{Hom}_R(\text{Ext}_R^i(I^t/I^{t+1}, R), J)$  where  $J = H_{R^+}^{d+1}(R)$  [7, Thm 6.3].

Let  $J'$  be the graded  $R$ -module defined by

$$J' = \varinjlim \text{Hom}_k(R/(R^+)^n, k)$$

then one computes (somewhat laboriously) from the definition

$$J = \varinjlim \text{Ext}_R^{d+1}(R/(R^+)^n, R)$$

that  $J = (\Lambda^{d+1}W)^* \otimes_k J'$  as  $G$ -module.

Hence

$$\begin{aligned} H_{R^+}^{d+1-i}(I^t/I^{t+1}) &= \text{Hom}_R(\text{Ext}_R^i(I^t/I^{t+1}, R), \varinjlim \text{Hom}_k(R/(R^+)^n, k)) \otimes (\Lambda^{d+1}W)^* \\ &= \varinjlim \text{Hom}_k(\text{Ext}_R^i(I^t/I^{t+1}, R) \otimes R/(R^+)^n, k) \otimes (\Lambda^{d+1}W)^* \end{aligned}$$

So  $U^*$  will not occur in  $\text{Ext}_R^i(I^t/I^{t+1}, R)$  if and only if  $(\Lambda^{d+1}W)^* \otimes U$  does not occur in  $H_{R^+}^{d+1-i}(I^t/I^{t+1})$ .

But by [4, Ch III]  $H_{R^+}^{d+1-i}(I^t/I^{t+1})$  is a quotient of the graded  $R$ -module  $\bigoplus_{l \in \mathbb{Z}} H^{d-i}(X, \mathcal{I}^t/\mathcal{I}^{t+1}(l))$  (if  $d - i \geq 1$  this is even an isomorphism).

It suffices now to note that  $H^{d-i}(X, \mathcal{I}^t/\mathcal{I}^{t+1}(l)) = H^{d-i}(X^u, \mathcal{I}^t/\mathcal{I}^{t+1}(l))$  to complete the proof of 3.1.  $\square$

#### 4. THE DESCRIPTION OF THE UNSTABLE LOCUS.

Our aim is now to apply Theorem 3.1. For this we have to understand the unstable locus in  $X$ . This is accomplished by the Hilbert-Mumford criterion which we will briefly recall in this section.

We keep the notations of the previous sections. In addition we define  $X^* = \text{Spec } k[W]$  and  $X^{*u} = \text{Spec } k[W]/I$ . The  $k$ -points of  $X^*$  are in one-one correspondence with the elements of the vector space  $W^*$ .

If  $\lambda : k^* \rightarrow G$  is a one-parameter subgroup then we can choose a basis in  $W^*$  such that the action of  $\lambda$  is diagonal. Hence  $\lambda$  is given by  $z \rightarrow \text{diag}(z^{r_1}, \dots, z^{r_{d+1}})$ . If  $x = (x_1, \dots, x_{d+1}) \in W^*$  then one defines  $m(x; \lambda) = \min\{r_j \mid x_j \neq 0\}$ . In [13, Th. 2.1] Mumford proves  $x \in X^{*u} \iff m(x; \lambda) > 0$  for some  $\lambda$ . This is the so-called Hilbert-Mumford criterion.

By elementary theory of algebraic groups it follows that any one-parameter subgroup of  $G$  can be factored through a maximal torus. Since all maximal tori are conjugate we can write any one-parameter subgroup of  $G$  as  $g^{-1}\lambda g$  where  $\lambda$  is a one-parameter subgroup of some fixed maximal torus  $T$ .

$X_\lambda^{*u} = \{x \in X^* \mid m(x; \lambda) > 0\}$  is clearly a linear subspace of  $X^*$ . Since  $m(x; g^{-1}\lambda g) = m(gx; \lambda)$  we see that  $X_{g^{-1}\lambda g}^{*u} = gX_\lambda^{*u}$ . Hence  $X^{*u} = \bigcup_\lambda GX_\lambda^{*u}$  where  $\lambda$  runs over the one-parameter subgroups of  $T$ . Projectivizing one obtains a similar statement  $X^u = \bigcup_\lambda GX_\lambda^u$ .

In the sequel we will restrict ourselves to  $G = SL(V)$  where  $V$  is a two dimensional  $k$ -vector space. The representation theory of  $SL(V)$  is particularly simple. All irreducible representations of  $SL(V)$  are of the form  $S^k V$ ,  $k \geq 0$ .

**Lemma 4.1.** *Let  $G = SL(V)$ . Then  $X^{*u} = GX_\lambda^{*u}$  and  $X^u = GX_\lambda^u$  where  $\lambda$  is given by  $z \rightarrow \text{diag}(z, z^{-1})$ .*

*Proof.* A general  $\lambda$  is of the form  $z \rightarrow \text{diag}(z^a, z^{-a})$  but it is immediately verified that there are only two different  $X_\lambda^{*u}$ 's, one corresponding to  $a > 0$  and one corresponding to  $a < 0$ . They are transformed into each other by  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  □

**Lemma 4.2.** *Let  $G = SL(V)$  and assume that  $W$  contain only direct summands (as  $G$ -representation) of the form  $V$  or  $S^2V$ . Then there is a Borel subgroup  $P$  of  $G$  acting on  $X_\lambda^u$  such that the natural map  $G \times^P X_\lambda^u \rightarrow GX_\lambda^u = X^u$  is an isomorphism of varieties.*

*Proof.* If  $G = SL(V)$  one verifies immediately (using the Hilbert-Mumford criterion) that the stabilizer of  $X_\lambda^u$  is a Borel subgroup conjugate to  $\begin{pmatrix} * & 0 \\ * & * \end{pmatrix}$ . Furthermore the hypothesis for Proposition 2.1 are easily checked in the case that  $W = V$  or  $W = S^2V$ . But then they are also true for direct sums of representations of this form. □

5. THE COMPUTATION OF  $\mathcal{I}^t/\mathcal{I}^{t+1}$ .

In this section we keep the notation of the previous sections.  $G$  will now be  $SL(V)$  where  $V$  is a two-dimensional vector space over  $k$ .  $W$  is a finite dimensional  $G$ -representation containing only direct summands of the form  $V$  or  $S^2(V)$ . In section 4 we have seen that  $X^u = GX_\lambda^u \cong G \times^P X_\lambda^u$  where  $X_\lambda^u$  is a linear subspace of  $X$  and  $P$  is a Borel subgroup of  $G$ .

To soften the notation we will put  $Y = X_\lambda^u$ ,  $S = G/P$ . There is a natural map  $X^u \cong G \times^P X_\lambda^u \rightarrow G/P = S$  which will be denoted by  $\phi$ .  $Y$  will be identified with the fiber of some closed point  $x \in G/P$ .  $X^u$  is a projective bundle on  $S$  and hence it will be of the form  $\mathbb{P}_S(\mathcal{E})$  for some vector bundle  $\mathcal{E}$  on  $S$ .

Let  $\mathcal{I}'$  be the ideal sheaf of  $Y$  in  $X$  and let  $I' \subset R$  be the corresponding graded ideal. Then  $I'$  is generated by some linear subspace  $W' \subset W$ . Put  $W'' = W/W'$ .

Finally let  $\mathcal{O}_X(1)$  be the line bundle associated to a hyperplane in  $X$ . This bundle restricts to a line bundle on  $X^u$  which as usual is denoted by  $\mathcal{O}_{X^u}(1)$ . In this case this leads to an annoying notation conflict. Since  $X^u = \mathbb{P}_S(\mathcal{E})$  there is a twisting sheaf on  $X^u$  which is classically denoted by  $\mathcal{O}_{X^u}(1)$  too [6, pp 160]. To avoid confusion let us momentarily denote this twisting sheaf by  $\mathcal{O}_{X^u}(1)'$ . It is immediately verified that  $\mathcal{O}_{X^u}(1)$  and  $\mathcal{O}_{X^u}(1)'$  agree on the fibers of  $\phi$ . Hence  $\mathcal{O}_{X^u}(1)' = \mathcal{O}_{X^u}(1) \otimes \phi^*\mathcal{L}$  [6, Ex II.5.9] for some line bundle  $\mathcal{L}$  on  $S$ . By changing  $\mathcal{E}$  into  $\mathcal{E} \otimes \mathcal{L}$  we can then assume that  $\mathcal{O}_{X^u}(1) = \mathcal{O}_{X^u}(1)'$ . This is the assumption that will be made in the sequel.

**Lemma 5.1.** *With assumptions as above  $\mathcal{E} = \tilde{W}''$*

*Proof.* As usual  $\mathcal{E} = \phi^*\mathcal{O}_{X^u}(1)$ . In this case however we can take the fiber for  $x \in S$  [4, par. 7]. Hence  $\mathcal{E}_x = \phi^*\mathcal{O}_Y(1)$  and since  $Y = \text{proj } k[W'']$  one sees that  $\mathcal{E}_x = W''$ . Hence  $\mathcal{E} = \tilde{W}''$   $\square$

Before we continue we state a standard lemma.

**Lemma 5.2.** *Let  $U \subset V \subset W$  be schemes such that  $U$  is a local complete intersection in  $V$  and  $V$  is a local complete intersection in  $W$ . Assume that the ideal sheaves defining  $U$  in  $V$ ,  $V$  in  $W$  and  $U$  in  $W$  are respectively  $\mathcal{I}$ ,  $\mathcal{J}$ ,  $\mathcal{K}$ . Then there is an exact sequence of vector bundles on  $U$  :*

$$(2) \quad 0 \rightarrow \mathcal{J}/\mathcal{J}^2 \otimes \mathcal{O}_U \rightarrow \mathcal{K}/\mathcal{K}^2 \rightarrow \mathcal{I}/\mathcal{I}^2 \rightarrow 0$$

where the maps are defined in the obvious way.

*Proof.* It suffices to prove this in the case that  $U = \text{Spec } A$ ,  $V = \text{Spec } B$ ,  $W = \text{Spec } C$  where  $C$  is local.

There are surjective maps  $C \xrightarrow{\pi} B \xrightarrow{\pi'} A$  associated to the inclusions  $U \subset V \subset W$ . Let  $J = \ker \pi$ ,  $I = \ker \pi'$ ,  $K = \ker(\pi'\pi)$ . Clearly  $K = \pi^{-1}I$ .

Associated to (2) there is a complex of  $A$  modules

$$(3) \quad 0 \rightarrow J/J^2 \otimes_C A \xrightarrow{i} K/K^2 \rightarrow I/I^2 \rightarrow \rightarrow 0$$

Now  $K/K^2 \cong K \otimes_C C/K \cong K \otimes_C A$ ,  $J/J^2 \otimes_C A \cong J \otimes_C B \otimes_C A \cong J \otimes_C A$ ,  $I/I^2 \cong I \otimes_C B/I \cong I \otimes_C A$ . Then one verifies that (3) is obtained by tensoring the exact sequence

$$0 \rightarrow J \hookrightarrow K \xrightarrow{\pi} I \rightarrow 0$$

with  $A$ . Hence (3) will always be right exact. To show that  $i$  is injective we compute  $J/J^2 \otimes_C A \cong J/J^2 \otimes_C C/K = J/(JK + J^2) = J/JK$  since  $J \subset K$ .

Hence  $i$  will be injective iff  $J \cap K^2 = JK$ . It is easily verified that is true using the fact that  $J$  and  $K$  are generated by regular sequences.  $\square$

**Proposition 5.3.** *With notations as above there is an exact sequence*

$$(4) \quad 0 \rightarrow \mathcal{I}/\mathcal{I}^2 \rightarrow \phi^* \tilde{W}' \otimes \mathcal{O}_{X^u}(-1) \rightarrow \phi^* \Omega_{S/k} \rightarrow 0$$

*Proof.* Since  $Y$ ,  $X^u$  and  $X$  are smooth,  $Y$  and  $X^u$  are local complete intersections. Hence we can use Lemma 5.2 to describe  $\mathcal{I}/\mathcal{I}^2$ . Let  $m_x$  be the maximal ideal in  $\mathcal{O}_S$  defining  $x$ . Then  $Y$  in  $X^u$  is defined by the ideal  $\phi^*(m_x)$ . Hence (2) translated to the present situation reads as

$$(5) \quad 0 \rightarrow (\mathcal{I}/\mathcal{I}^2)_x \rightarrow \mathcal{I}'/\mathcal{I}'^2 \rightarrow \phi^*(m_x)/\phi^*(m_x^2) \rightarrow 0$$

Then one makes the following observations

- Since  $I'$  is generated by a linear subspace  $W'$  of  $W$  one computes that  $\mathcal{I}'/\mathcal{I}'^2 = \phi^*(W') \otimes \mathcal{O}_Y(-1) = \phi^*(W') \otimes \mathcal{O}_{X^u}(-1)_x$
- Since  $\phi$  is flat  $\phi^*(m_x)/\phi^*(m_x)^2 \cong \phi^*(m_x/m_x^2)$  but  $m_x/m_x^2 \cong (\Omega_{S/k})_x$  [6, II.8.7]. Hence  $\phi^*(m_x)/\phi^*(m_x^2) \cong \phi^*(\Omega_{S/k})_x$ .

Applying  $\sim$  to (5) yields (4)  $\square$

**Corollary 5.4.** *For  $t \geq 0$  and  $l$  arbitrary, there are exact sequences*

$$(6) \quad 0 \rightarrow (\mathcal{I}^t/\mathcal{I}^{t+1})(l) \rightarrow \phi^*(S^t \tilde{W}')(l-t) \rightarrow \phi^*(S^{t-1} \tilde{W}' \otimes \Omega_{S/k})(l-t+1) \rightarrow 0$$

(In the case  $t=0$  we follow the convention that  $S^{-1}(?) = 0$ ).



*Proof.* Since  $X^u$  is smooth,  $X^u$  is a local complete intersection in  $X$ . Hence  $\mathcal{I}^t/\mathcal{I}^{t+1} \cong S^t(\mathcal{I}/\mathcal{I}^2)$ .

The case  $t = 0$  is a tautology. If  $t > 0$  then (6) is obtained by taking symmetric powers of (4) (using the fact that  $\Omega_{S/k}$  is a line bundle since  $S \cong \mathbb{P}^1$ ).  $\square$

The sequences (6) will be used to compute the cohomology of  $\mathcal{I}^t/\mathcal{I}^{t+1}(l)$ . To do this we need another standard lemma.

**Lemma 5.5.** *Let  $S$  be a scheme and let  $X = \mathbb{P}_S(\mathcal{E})$  where  $\mathcal{E}$  is some vector bundle of rank  $r$  on  $S$ . Let  $\phi$  denote the structure map  $X \rightarrow S$  and let  $\mathcal{F}$  be some other vector bundle on  $S$ . Then*

$$\begin{aligned} H^i(X, (\phi^*\mathcal{F})(l)) = & \\ & \begin{array}{ll} H^i(S, \mathcal{F} \otimes_{\mathcal{O}_S} S^l \mathcal{E}) & \text{if } l \geq 0 \\ 0 & \text{if } -r < l < 0 \\ H^{i-r+1}(S, \mathcal{F} \otimes_{\mathcal{O}_S} (\Lambda^r \mathcal{E})^* \otimes_{\mathcal{O}_S} (S^{-l-r} \mathcal{E})^*) & \text{if } l \leq -r \end{array} \end{aligned}$$

*Proof.* This follows from the Leray spectral sequence for  $\phi$  and the fact that

$$\begin{aligned} R^j \phi_*(\phi^*\mathcal{F}(l)) &= \mathcal{F} \otimes_{\mathcal{O}_S} S^l \mathcal{E} && \text{if } j = 0 \\ &= 0 && \text{if } j \neq 0, r-1 \\ &= \mathcal{F} \otimes_{\mathcal{O}_S} (\Lambda^r \mathcal{E})^* \otimes_{\mathcal{O}_S} (S^{-l-r} \mathcal{E})^* && \text{if } j = r-1 \end{aligned}$$

[6, Ex III.8.3,8.4]. Here as usual a negative symmetric power is to be interpreted as 0.  $\square$

From this Lemma we deduce that the cohomology of the last two terms in (6) only lives in degrees 0, 1,  $r-1$ ,  $r$  where  $r$  is the rank of  $W''$ .

If we assume that  $G$  acts generically free on  $X$  then  $d-h=3$  and hence the cohomology in degrees 0, 1 has no influence on the cohomology of  $\mathcal{I}^t/\mathcal{I}^{t+1}(l)$  in degrees  $d-h$  and higher.

So by Theorem 3.1 we only have to look in degrees  $r-1$ ,  $r$ .

To simplify the notation we define

$$\begin{aligned} \mathcal{A}_{l,t} &= \phi^*(S^t \tilde{W}')(l-t) \\ \mathcal{B}_{l,t} &= \phi^*(S^{t-1} \tilde{W}' \otimes \Omega_{S/k})(l-t+1) \end{aligned}$$

**Lemma 5.6.** *Let  $i = 0, 1$ .*

*If  $t-l-r \geq 0$  then*

$$H^{r-1+i}(X^u, \mathcal{A}_{l,t}) = H^i(S, S^t \tilde{W}' \otimes (\Lambda^r \tilde{W}'')^* \otimes (S^{t-l-r} \tilde{W}'')^*)$$

*If  $t-l-r-1 \geq 0$  then*

$$H^{r-1+i}(X^u, \mathcal{B}_{l,t}) = H^i(S, S^{t-1} \tilde{W}' \otimes (\Lambda^r \tilde{W}'')^* \otimes (S^{t-1-l-r} \tilde{W}'')^* \otimes \Omega_{S/k})$$

*$H^{r-1+i}(X^u, \mathcal{A}_{l,t})$  and  $H^{r-1+i}(X^u, \mathcal{B}_{l,t})$  are zero in the other cases.*

*Proof.* Apply Lemma 5.5 □

It remains to compute the cohomology of  $\tilde{U}$  where  $U$  is some  $P$  representation. In the case that  $U$  irreducible this is accomplished by Bott's theorems [2]. In the case that  $U$  is not irreducible we can construct a filtration  $0 = U_0 \subset U_1 \subset \cdots \subset U_n = U$  such that  $U_{i+1}/U_i$  is one-dimensional.

Then there is a similar filtration  $0 = \tilde{U}_0 \subset \cdots \subset \tilde{U}_n = \tilde{U}$  such that  $\tilde{U}_{i+1}/\tilde{U}_i = \widetilde{U_{i+1}/U_i}$  is a line bundle and hence the cohomology of  $\tilde{U}$  must be contained in the cohomology of  $\oplus \widetilde{U_{i+1}/U_i}$ .

Now let  $P = \begin{pmatrix} * & 0 \\ * & * \end{pmatrix} \subset G$  and let  $T$  be the maximal torus  $\begin{pmatrix} z & 0 \\ 0 & z^{-1} \end{pmatrix}$  in  $G$ . Then the character group of  $T$  is generated by the character  $\chi : \begin{pmatrix} z & 0 \\ 0 & z^{-1} \end{pmatrix} \rightarrow z$ .

We will identify  $\chi$  with a one-dimensional representation of  $P$ . Then one easily verifies that the one-dimensional subquotients of  $W''$  are of the form  $\chi, \chi^2$  and the one-dimensional subquotients of  $W'$  are of the form  $\chi^{-2}, \chi^{-1}, 1$ .

Also using the fact that  $(\Omega_{S/k}) = m_x/m_x^2$  [6, II.8.7] we verify that  $(\Omega_{S/k})_x = \tilde{\chi}^{-2}$ . Hence the direct summands as  $G$ -module of the cohomology of  $\mathcal{A}_{l,t}$  and  $\mathcal{B}_{l,t}$  are among the direct summands of the cohomology of certain tensor powers of  $\tilde{\chi}$ . Furthermore since  $\chi$  is dominant and a generator of  $\chi(T)$  we obtain as a trivial application of Bott's theory [2] that  $\tilde{\chi} = \mathcal{O}_S(1)$  with some suitable  $G$ -action and  $H^0(S, \tilde{\chi}^n) = S^n V$ .

We will now use this method in the case that  $W = (S^2 V)^m$ . This leads to the main application of this paper. It is clear however that similar computations can be made in more general cases.

**Theorem 5.7.** *Let  $W = (S^2 V)^m$  where  $m \geq 2$ . Then  $(S^j V \otimes k[W])^G$  is a Cohen-Macaulay  $k[W]^G$ -module if  $0 \leq j \leq 2 * m - 3$  or if  $j$  is odd.*

*Proof.* First note that  $r = m$  in this case.

We list the one-dimensional subquotients of the vector bundles occurring in Lemma 5.6

$$\begin{array}{ll}
 S^t \tilde{W}' & \tilde{\chi}^{-2t}, \tilde{\chi}^{-2t+2}, \dots, \tilde{\chi}^{-2}, 1 \\
 \Lambda^r \tilde{W}'' & \tilde{\chi}^{2r} \\
 S^{t-l-r} \tilde{W}'' & \tilde{\chi}^{2(t-l-r)} \\
 S^{t-1} \tilde{W}' & \tilde{\chi}^{-2t+2}, \tilde{\chi}^{-2t+4}, \dots, \tilde{\chi}^{-2}, 1 \\
 S^{t-l-r-1} \tilde{W}'' & \tilde{\chi}^{2(t-l-r-1)} \\
 S^t \tilde{W}' \otimes (\Lambda^r \tilde{W}'')^* \otimes (S^{t-l-r} \tilde{W}'')^* & \tilde{\chi}^{2l-4t}, \tilde{\chi}^{2l-4t+2}, \dots, \tilde{\chi}^{2l-2t} \\
 S^{t-1} \tilde{W}' \otimes (\Lambda^r \tilde{W}'')^* \otimes (S^{t-l-r-1} \tilde{W}'')^* \otimes \Omega_{S/k} & \tilde{\chi}^{2l-4t+2}, \tilde{\chi}^{2l-4t+4}, \dots, \tilde{\chi}^{2l-2t}
 \end{array}$$

Hence if  $t-l-r \geq 0$ ,  $t \geq 0$ ,  $i = 0, 1$  then the indecomposable summands of  $H^{r-1+i}(X^u, \mathcal{A}_{l,t})$  are among

$$(7) \quad H^i(S, \mathcal{O}_S(2l-4t)), \dots, H^i(S, \mathcal{O}_S(2l-2t))$$

Similarly if  $t-1-l-r \geq 0$ ,  $t \geq 1$ ,  $i = 0, 1$  then the indecomposable summands of  $H^{r-1+i}(X^u, \mathcal{B}_{l,t})$  are among

$$(8) \quad H^i(S, \mathcal{O}_S(2l-4t+2)), \dots, H^i(S, \mathcal{O}_S(2l-2t))$$

In the other cases  $H^{r-1+i}(X^u, \mathcal{A}_{l,t}) = 0$ ,  $H^{r-1+i}(X^u, \mathcal{B}_{l,t}) = 0$ .

If  $t-l-r \geq 0$  then we can write  $l = t-r-h$ ,  $h \geq 0$ . Plugging this in (7) we see that  $H^{r-1}(X^u, \mathcal{A}_{l,t}) = 0$ .

On the other hand, by Serre duality and (7), the indecomposable direct summands of  $H^r(X^u, \mathcal{A}_{l,t})$  are among

$$\begin{array}{lll}
 H^0(S, \mathcal{O}_S(4t-2l-2)) & = & S^{4t-2l-2}V = S^{2t+2r+2h-2}V \\
 \vdots & & \vdots \\
 H^0(S, \mathcal{O}_S(2t-2l-2)) & = & S^{2t-2l-2}V = S^{2r+2h-2}V
 \end{array}$$

If  $t-l-1-r \geq 0$  then again  $l = t-1-r-h$  where  $h \geq 0$ . In the same way as above we see that  $H^{r-1}(X^u, \mathcal{B}_{l,t}) = 0$ .

The indecomposable direct summands of  $H^r(X^u, \mathcal{B}_{l,t})$  are among

$$\begin{array}{lll}
 H^i(S, \mathcal{O}_S(4t-2l-4)) & = & S^{4t-2l-4}V = S^{2t+2r+2h-2}V \\
 \vdots & & \vdots \\
 H^i(S, \mathcal{O}_S(2t-2l-2)) & = & S^{2t-2l-2}V = S^{2r+2h}V
 \end{array}$$

Hence it is clear that  $S^j V$ , for  $j = 0, \dots, 2r-3$  or  $j$  odd, does not occur among the direct summands of  $H^{r-1+i}(X^u, \mathcal{A}_{l,t})$ ,  $H^{r-1+i}(X^u, \mathcal{B}_{l,t})$  where  $i = 0, 1$ . Hence these representations will also not occur in the cohomology of  $\mathcal{I}^t/\mathcal{I}^{t+1}(l)$  by (6). It then follows from Theorem 3.1 that  $(S^j V \otimes k[W])^G$  is a Cohen-Macaulay  $k[W]^G$ -module.  $\square$

**Corollary 5.8.**  $\mathbb{T}_{m,2}$  is Cohen-Macaulay

*Proof.* It is well known that  $\mathbb{T}_{2,2}$  is Cohen-Macaulay [3][12]. (This follows also from (6) if one notices that in this case only the cohomology of  $\mathcal{B}_{l,t}$  is important by Theorem 3.1.) Hence we may assume that  $m \geq 3$ .

We have already mentioned that  $\mathbb{T}_{m,2} = (U \otimes k[W])^G$  where  $U = V^* \otimes V$ ,  $W = (V^* \otimes V)^m$ . But  $V^* \otimes V = k \oplus S^2V$  where  $k$  is the trivial  $G$ -module. Then it is easy to see that  $\mathbb{T}_{m,2}$  is a polynomial ring over  $\mathbb{T}_{m,2}^0 = (U \otimes k[(S^2V)^m])^G = k[(S^2V)^m]^G \oplus (S^2V \otimes k[(S^2V)^m])^G$ .

Hence it suffices to prove our claim for  $(U \otimes k[W])^G$  where  $W = (S^2V)^m$  and  $U = k, S^2V$ . But in these cases Theorem 5.7 applies.  $\square$

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